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FORM PTO-1390 U.S. DEPARTMENT OF MERCE PATENT AND TRADEMARK OFFICE DOCKET NUMBER (REV 11-2000) 249-255 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP00/06774 29 September 2000 30 September 1999 TITLE OF INVENTION COMPLEMENTARY DETERMINING REGION-GRAFTED ANTIBODY AGAINST GANGLIOSIDE GD3 AND DERIVATIVE OF ANTIBODY AGAINST GANGLIOSIDE GD3 APPLICANT(S) FOR DO/EO/US HANAI et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below.  $\boxtimes$ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto (required only if not communicated by the International Bureau). 冈 has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. 6.  $\boxtimes$ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\boxtimes$ is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 図 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. A English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 To 20 below concern document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.  $\boxtimes$ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. .13. A FIRST preliminary amendment. 14. П A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.  $\boxtimes$ 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information. PTO -1449 and copy of International Search Report and a paper copy of Sequence Listing; Budapest Treaty Deposit receipts relating to FERM BP-6918, FERM BP-6791 & FERM BP6790

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U.S. APPLICATION NO. (If known, spectral 5 0 0 PCT/JP00/06774	ATTORNEY'S DOCKET NUMBER  249-255			
	C	ALCULATIONS	PTO	USE ONLY
21.  The following fees are submitted:  BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):	۳	ALCOLATICIS		
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1040.00				:
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00				
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$740.00				
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$710.00				
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				
ENTER APPROPRIATE BASIC FEE AMOUNT =	\$	890.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).	\$	0.00		
CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE	Ť			
Total Claims 66 -20 = 46 X \$18.00	\$	828.00		
Independent Claims 2 -3 = 0 X \$84.00		0.00		
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) \$280.00	\$	0.00		
TOTAL OF ABOVE CALCULATIONS =	\$	1718.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above				
are reduced by 1/2.	•	0.00		
SUBTOTAL =	\$	1718.00		
Processing fee of \$130.00, for furnishing the English Translation later than \( \sum 20 \subseteq 30 \) months from the earliest claimed priority date (37 C.F.R. 1.492(f)).		0.00		
TOTAL NATIONAL FEE =	\$	1718.00		
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +	\$	40.00		
Fee for Petition to Revive Unintentionally Abandoned Application (\$1280.00 - Small Entity = \$640.00)	\$	0.00		
TOTAL FEES ENCLOSED =	\$	1758.00		
	Α	mount to be:		
L	<u> </u>	refunded	\$	
	L	Charged	\$	
a.				
36,663 REGISTRATION NUMBE	R	March 29, 2 Date	2002	